



## ongoing service agreement

You would like to retain the services of Rise Financial Pty Ltd for the provision of **Ongoing Service**.

You understand that there is a fee for this advice and you have indicated below which service you require:

### **Ongoing Services**

<input type="checkbox"/> Wealth Management Service – Advanced – Investment Over \$1 M At Least	Base Cost \$ 6,600 pa (inc. GST)
<input type="checkbox"/> Wealth Management Service – Mature – Investment \$500 K to \$1 M	Base Cost \$ 4,950 pa (inc. GST)
<input type="checkbox"/> Wealth Management Service – Established – Investment \$100 K to \$500 K	Base Cost \$ 3,300 pa (inc. GST)
<input type="checkbox"/> Wealth Management Service – Beginning – Investment Under \$100 K	Base Cost \$ 1,650 pa (inc. GST)
<input type="checkbox"/> Other - _____	Cost \$ _____ pa (inc. GST)

Notes: \_\_\_\_\_  
 \_\_\_\_\_

Please be aware of the following before signing below:

- The Base Costs for **Ongoing Service** advised above will increase where Rise Financial Pty Ltd deems a situation to be more complicated, with the higher fee advised and agreed upon before any **Ongoing Service** commences.
- When selecting **Ongoing Service** no other fees will generally be payable to Rise Financial Pty Ltd when investing funds initially, or at a later date, however the **Ongoing Service** fee may be increased to the appropriate level immediately.
- Home loans or lease products will be recommended and implemented for an additional fee, only when an **Ongoing Service** is required, and where a cost effective solution can be provided.
- Personal insurance policies will be recommended and implemented for an additional fee, where a cost effective solution can be provided.
- When selecting **Ongoing Service** you understand that you are required to remain on this service for at least 1 year.
- You understand **Ongoing Service** fees may change in the future, with any fee change to be advised at an annual review and should you wish to maintain our **Ongoing Service**, the new fee will apply from that date.
- You understand that should you cease our **Ongoing Service**, you understand that neither Rise Financial Pty Ltd nor your adviser will receive any form of ongoing payment to provide any **Ongoing Service**.
- You understand that the investments Rise Financial Pty Ltd will use have “restricted adviser access”. This means that should you cease our **Ongoing Service** and you wish to retain your investments, your Rise Financial Pty Ltd adviser will likely need to remain on your policy as the recognised adviser.
- You understand that should you cease our **Ongoing Service**, you retain any investments, loans, leases or insurance policies that Rise Financial Pty Ltd has put in place, and your Rise Financial Pty Ltd adviser is still on your policy as the recognized adviser, you acknowledge that Rise Financial Pty Ltd and the recognised adviser will then have no responsibility for ensuring continued appropriateness of any products which may have been put in place.

By signing below, you ask Rise Financial Pty Ltd to provide the **Ongoing Service** requested for the fee indicated.

Client Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Client Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_